United Nations A/C.5/64/L.24

General Assembly

Distr.: Limited
28 December 2009

Original: English

Sixty-fourth session
Fifth Committee
Agenda item 136
Scale of assessments for the apportionment of the expenses of the United Nations

Draft resolution submitted by the Vice-Chairperson following informal consultations

Scale of assessments for the apportionment of the expenses of the United Nations

The General Assembly,

Recalling its previous resolutions and decisions on the scale of assessments for the apportionment of the expenses of the United Nations, including its resolutions 55/5 B and C of 23 December 2000, 57/4 B of 20 December 2002, 58/1 B of 23 December 2003 and 61/237 of 22 December 2006,

Reaffirming Article 17 of the Charter of the United Nations and rule 160 of its rules of procedure,

Recalling paragraphs 5 and 6 of its resolution 58/1 B,

Having considered the reports of the Committee on Contributions on its sixty-ninth,[1] sixty-eighth[2] and sixty-seventh[3] sessions as well as the reports of the Secretary-General on multi-year payment plans,[4]

1. *Reaffirms* that the determination of the scale of assessments for the apportionment of expenses of the United Nations shall remain the prerogative of the General Assembly;

2. *Also reaffirms* the fundamental principle that the expenses of the Organization shall be apportioned broadly according to the capacity to pay;

[1] *Official Records of the General Assembly, Sixty-fourth Session, Supplement No. 11* (A/64/11).
[2] *Official Records of the General Assembly, Sixty-third Session, Supplement No. 11* (A/63/11).
[3] *Official Records of the General Assembly, Sixty-second Session, Supplement No. 11* (A/62/11).
[4] A/64/68, A/63/68 and A/62/70.

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3. *Further reaffirms* the obligation of all Member States to bear the expenses of the United Nations, as apportioned by the General Assembly, in conformity with Article 17, paragraph 2, of the Charter of the United Nations;

4. *Reaffirms* that the Committee on Contributions as a technical body is required to prepare the scale of assessments strictly on the basis of reliable, verifiable and comparable data;

5. *Decides* that the scale of assessments for the period 2010-2012 shall be based on the following elements and criteria:

(a) Estimates of gross national income;

(b) Average statistical base periods of three and six years;

(c) Conversion rates based on market exchange rates, except where that would cause excessive fluctuations and distortions in the income of some Member States, when price-adjusted rates of exchange or other appropriate conversion rates should be employed, taking due account of its resolution 46/221 B;

(d) The debt-burden approach employed in the scale of assessments for the period 2007-2009;

(e) A low per capita income adjustment of 80 per cent, with a threshold per capita income limit of the average per capita gross national income of all Member States for the statistical base periods;

(f) A minimum assessment rate of 0.001 per cent;

(g) A maximum assessment rate for the least developed countries of 0.01 per cent;

(h) A maximum assessment rate of 22 per cent;

6. *Resolves* that the scale of assessments for the contributions of Member States to the regular budget of the United Nations for 2010, 2011 and 2012 shall be as follows:

Member State	*Percentage*
Afghanistan	0.004
Albania	0.010
Algeria	0.128
Andorra	0.007
Angola	0.010
Antigua and Barbuda	0.002
Argentina	0.287
Armenia	0.005
Australia	1.933
Austria	0.851
Azerbaijan	0.015
Bahamas	0.018
Bahrain	0.039

Member State	*Percentage*
Bangladesh	0.010
Barbados	0.008
Belarus	0.042
Belgium	1.075
Belize	0.001
Benin	0.003
Bhutan	0.001
Bolivia (Plurinational State of)	0.007
Bosnia and Herzegovina	0.014
Botswana	0.018
Brazil	1.611
Brunei Darussalam	0.028
Bulgaria	0.038
Burkina Faso	0.003
Burundi	0.001
Cambodia	0.003
Cameroon	0.011
Canada	3.207
Cape Verde	0.001
Central African Republic	0.001
Chad	0.002
Chile	0.236
China	3.189
Colombia	0.144
Comoros	0.001
Congo	0.003
Costa Rica	0.034
Côte d'Ivoire	0.010
Croatia	0.097
Cuba	0.071
Cyprus	0.046
Czech Republic	0.349
Democratic People's Republic of Korea	0.007
Democratic Republic of the Congo	0.003
Denmark	0.736
Djibouti	0.001
Dominica	0.001
Dominican Republic	0.042
Ecuador	0.040
Egypt	0.094

Member State	*Percentage*
El Salvador	0.019
Equatorial Guinea	0.008
Eritrea	0.001
Estonia	0.040
Ethiopia	0.008
Fiji	0.004
Finland	0.566
France	6.123
Gabon	0.014
Gambia	0.001
Georgia	0.006
Germany	8.018
Ghana	0.006
Greece	0.691
Grenada	0.001
Guatemala	0.028
Guinea	0.002
Guinea-Bissau	0.001
Guyana	0.001
Haiti	0.003
Honduras	0.008
Hungary	0.291
Iceland	0.042
India	0.534
Indonesia	0.238
Iran (Islamic Republic of)	0.233
Iraq	0.020
Ireland	0.498
Israel	0.384
Italy	4.999
Jamaica	0.014
Japan	12.530
Jordan	0.014
Kazakhstan	0.076
Kenya	0.012
Kiribati	0.001
Kuwait	0.263
Kyrgyzstan	0.001
Lao People's Democratic Republic	0.001
Latvia	0.038

Member State	*Percentage*
Lebanon	0.033
Lesotho	0.001
Liberia	0.001
Libyan Arab Jamahiriya	0.129
Liechtenstein	0.009
Lithuania	0.065
Luxembourg	0.090
Madagascar	0.003
Malawi	0.001
Malaysia	0.253
Maldives	0.001
Mali	0.003
Malta	0.017
Marshall Islands	0.001
Mauritania	0.001
Mauritius	0.011
Mexico	2.356
Micronesia (Federated States of)	0.001
Monaco	0.003
Mongolia	0.002
Montenegro	0.004
Morocco	0.058
Mozambique	0.003
Myanmar	0.006
Namibia	0.008
Nauru	0.001
Nepal	0.006
Netherlands	1.855
New Zealand	0.273
Nicaragua	0.003
Niger	0.002
Nigeria	0.078
Norway	0.871
Oman	0.086
Pakistan	0.082
Palau	0.001
Panama	0.022
Papua New Guinea	0.002
Paraguay	0.007
Peru	0.090

Member State	*Percentage*
Philippines	0.090
Poland	0.828
Portugal	0.511
Qatar	0.135
Republic of Korea	2.260
Republic of Moldova	0.002
Romania	0.177
Russian Federation	1.602
Rwanda	0.001
Saint Kitts and Nevis	0.001
Saint Lucia	0.001
Saint Vincent and the Grenadines	0.001
Samoa	0.001
San Marino	0.003
Sao Tome and Principe	0.001
Saudi Arabia	0.830
Senegal	0.006
Serbia	0.037
Seychelles	0.002
Sierra Leone	0.001
Singapore	0.335
Slovakia	0.142
Slovenia	0.103
Solomon Islands	0.001
Somalia	0.001
South Africa	0.385
Spain	3.177
Sri Lanka	0.019
Sudan	0.010
Suriname	0.003
Swaziland	0.003
Sweden	1.064
Switzerland	1.130
Syrian Arab Republic	0.025
Tajikistan	0.002
Thailand	0.209
The former Yugoslav Republic of Macedonia	0.007
Timor-Leste	0.001
Togo	0.001
Tonga	0.001

Member State	*Percentage*
Trinidad and Tobago	0.044
Tunisia	0.030
Turkey	0.617
Turkmenistan	0.026
Tuvalu	0.001
Uganda	0.006
Ukraine	0.087
United Arab Emirates	0.391
United Kingdom of Great Britain and Northern Ireland	6.604
United Republic of Tanzania	0.008
United States of America	22.000
Uruguay	0.027
Uzbekistan	0.010
Vanuatu	0.001
Venezuela (Bolivarian Republic of)	0.314
Viet Nam	0.033
Yemen	0.010
Zambia	0.004
Zimbabwe	0.003
Total	**100.00**

7. *Recognizes* that the current methodology can be enhanced bearing in mind the principle of capacity to pay;

8. *Also recognizes* the need to study in depth the methodology in an effective and expeditious manner taking into account views expressed by Member States;

9. *Decides* to review, at its earliest opportunity, all elements of the methodology of the scale of assessments with a view to a decision before the end of its sixty-sixth session to take effect, if agreed, for the 2013-2015 scale period;

10. *Requests* the Committee on Contributions, in accordance with its mandate and the rules of procedure of the General Assembly, to make recommendations, in the light of the review referred to in paragraph 9 of the present resolution, and report thereon to the Assembly at the main part of its sixty-fifth session;

11. *Recognizes* the concern expressed by Member States with regard to conversion rates and requests the Committee on Contributions to review further criteria to be used to identify cases where market exchange rates should be replaced with price-adjusted rates of exchange or other appropriate conversion rates for preparing the scale of assessments and to report thereon to the Assembly at its sixty-fifth session in the context of paragraph 10 of the present resolution;

12. *Resolves* that:

(a) Notwithstanding the terms of financial regulation 3.9,[5] the Secretary-General shall be empowered to accept, at his discretion and after consultation with the Chairman of the Committee on Contributions, a portion of the contributions of Member States for the calendar years 2010, 2011 and 2012 in currencies other than the United States dollar;

(b) In accordance with financial regulation 3.8,[5] the Holy See, which is not a member of the United Nations but which participates in certain of its activities, shall be called upon to contribute towards the expenses of the Organization for 2010, 2011 and 2012 on the basis of a notional assessment rate of 0.001 per cent, which represents the basis for the calculation of the flat annual fees to be charged to the Holy See in accordance with General Assembly resolution 44/197 B of 21 December 1989;

13. *Takes note* of the reports of the Secretary-General on multi-year payment plans and of the related conclusions and recommendations of the Committee on Contributions;[4]

14. *Reaffirms* paragraph 1 of its resolution 57/4 B;

15. *Takes note* with appreciation of the considerable efforts undertaken by Member States that fully implemented their multi-year payment plans;

16. *Encourages* Member States in arrears with their assessed contributions to the United Nations to consider submitting multi-year payment plans and, in this context, requests the Committee on Contributions to make recommendations with a view to mitigating large scale-to-scale increases for those Member States that have fulfilled their multi-year payment plans and to report thereon to the Assembly at its sixty-fifth session in the context of paragraph 10 of the present resolution.

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[5] See ST/SGB/2003/7.

United Nations A/C.5/64/L.25

General Assembly

Distr.: Limited
28 December 2009

Original: English

Sixty-fourth session
Fifth Committee
Agenda item 145
Scale of assessments for the apportionment of the expenses of United Nations peacekeeping operations

Draft resolution submitted by the Chairperson following informal consultations

Scale of assessments for the apportionment of the expenses of United Nations peacekeeping operations

The General Assembly,

Recalling its resolutions 55/235 and 55/236 of 23 December 2000, 58/256 of 23 December 2003 and 61/243 of 22 December 2006,

Recalling also its request to the Secretary-General in paragraph 15 of resolution 55/235 to update the composition of the levels of contribution of Member States for peacekeeping operations described in the resolution on a triennial basis, in conjunction with the regular budget scale of assessment reviews, in accordance with the criteria established in the resolution, and to report thereon to the General Assembly,

Recalling further its decision, in paragraph 16 of resolution 55/235, to review the structure of levels of contribution for peacekeeping operations after nine years,

Reaffirming the principles set out in its resolutions 1874 (S-IV) of 27 June 1963, 3101 (XXVIII) of 11 December 1973 and 55/235 of 23 December 2000,

Having considered the report of the Secretary-General on the implementation of resolutions 55/235 and 55/236,[1]

1. *Takes note* of the report of the Secretary-General[1] and of the updated composition of levels of contribution for peacekeeping operations for the period 2010 to 2012 contained therein;

2. *Reaffirms* the following general principles underlying the financing of United Nations peacekeeping operations:

[1] A/64/220.

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(a) The financing of such operations is the collective responsibility of all States Members of the United Nations and, accordingly, the costs of peacekeeping operations are expenses of the Organization to be borne by Member States in accordance with Article 17, paragraph 2, of the Charter of the United Nations;

(b) In order to meet the expenditures caused by such operations, a different procedure is required from that applied to meet expenditures under the regular budget of the United Nations;

(c) Whereas the economically more developed countries are in a position to make relatively larger contributions to peacekeeping operations, the economically less developed countries have a relatively limited capacity to contribute towards peacekeeping operations involving heavy expenditures;

(d) The special responsibilities of the permanent members of the Security Council for the maintenance of peace and security should be borne in mind in connection with their contributions to the financing of peace and security operations;

(e) Where circumstances warrant, the General Assembly should give special consideration to the situation of any Member States which are victims of, and those which are otherwise involved in, the events or actions leading to a peacekeeping operation;

3. *Also reaffirms* that assessment rates for the financing of peacekeeping operations should be based on the scale of assessments for the regular budget of the United Nations, with an appropriate and transparent system of adjustments based on levels of Member States, consistent with the principles outlined above;

4. *Further reaffirms* that the permanent members of the Security Council should form a separate level and that, consistent with their special responsibilities for the maintenance of peace and security, they should be assessed at a higher rate than for the regular budget;

5. *Affirms* that all discounts resulting from adjustments to the regular budget assessment rates of Member States in levels C through J shall be borne on a pro rata basis by the permanent members of the Security Council;

6. *Reaffirms* that the least developed countries should be placed in a separate level and receive the highest rate of discount available under the scale;

7. *Also reaffirms* that the statistical data used for setting the rates of assessment for peacekeeping should be the same as the data used in preparing the regular budget scale of assessments, subject to the provisions of the present resolution;

8. *Further reaffirms* the decision to create levels of discount to facilitate automatic, predictable movement between categories on the basis of the per capita gross national income of Member States;

9. *Decides* that, as from 1 January 2010, the rates of assessment for peacekeeping should be based on the ten levels of contribution and parameters set forth in the table below, subject to the provisions of the present resolution:

Level	*Criteria*	*Threshold in United States dollars (2010-2012)*	*Discount (per cent)*
A	Permanent members of the Security Council	Not applicable	Premium
B	All Member States, except those covered below and level A	Not applicable	0
C	As listed in the annex to General Assembly resolution 55/235	Not applicable	7.5
D	Member States with per capita gross national income less than 2 times the average for all Member States (except level A, C and J contributors)	Under 13,416	20
E	Member States with per capita gross national income less than 1.8 times the average for all Member States (except level A, C and J contributors)	Under 12,074	40
F	Member States with per capita gross national income less than 1.6 times the average for all Member States (except level A, C and J contributors)	Under 10,733	60
G	Member States with per capita gross national income less than 1.4 times the average for all Member States (except level A, C and J contributors)	Under 9,391	70
H	Member States with per capita gross national income less than 1.2 times the average for all Member States (except level A, C and J contributors)	Under 8,050	80 (or 70 on a voluntary basis)[a]
I	Member States with per capita gross national income less than the average for all Member States (except level A, C and J contributors)	Under 6,708	80
J	Least developed countries (except level A and C contributors)	Not applicable	90

[a] Member States in level H* have a discount of 70 per cent.

10. *Reaffirms* that Member States will be assigned to the lowest level of contribution with the highest discount for which they are eligible, unless they indicate a decision to move to a higher level;

11. *Also reaffirms* that for purposes of determining the eligibility of Member States for contribution in particular levels during the 2010-2012 scale period, the average per capita gross national income of all Member States will be 6,708 United

States dollars and the per capita gross national income of Member States will be the average of 2002 to 2007 figures;

12. *Further reaffirms* that transition periods of two years will apply to countries moving up by two levels, and that transition periods of three years will apply to countries moving up by three or more levels without prejudice to paragraph 10 above;

13. *Reaffirms* that transitions as specified above will occur in equal increments over the transition period as designated above;

14. *Endorses* the updated composition of levels to be applied in adjusting regular budget scale rates to establish Member States' rates of assessment for peacekeeping operations for the period from 2010 to 2012,[2] subject to the provisions of the present resolution;

15. *Requests* the Secretary-General to continue updating the composition of the levels described above on a triennial basis, in conjunction with the regular budget scale of assessment reviews, in accordance with the criteria established above, and to report thereon to the General Assembly;

16. *Recognizes* the concerns raised by Member States, including Bahrain and the Bahamas, regarding the structure of the levels;

17. *Also recognizes* the need to review the structure of the levels of the scale of assessments for the apportionment of the expenses of United Nations peacekeeping operations;

18. *Desires* to address the issues referred to above in an effective and expeditious manner;

19. *Decides* to review the structure of the levels of the scale of assessments for the apportionment of the expenses of United Nations peacekeeping operations with a view to a decision, if agreed, no later than its sixty-seventh session.

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[2] Ibid., annex III.

United Nations A/C.5/64/L.26

General Assembly

Distr.: Limited
28 December 2009

Original: English

Sixty-fourth session
Fifth Committee
Agenda item 130
Review of the efficiency of the administrative and financial functioning of the United Nations

Draft decision submitted by the Chairman

Questions deferred for future consideration

A

The General Assembly decides to defer until the resumed first part of its sixty-fourth session consideration of the following agenda items and related documents:

Item 130
Review of the efficiency of the administrative and financial functioning of the United Nations

Report of the Independent Audit Advisory Committee on its activities for the period from 1 August 2008 to 31 July 2009[1]

Item 132
Proposed programme budget for the biennium 2010-2011

Report of the Secretary-General on limited budgetary discretion[2]

Report of the Advisory Committee on Administrative and Budgetary Questions on limited budgetary discretion[3]

[1] A/64/288.
[2] A/64/562.
[3] A/64/7/Add.18.

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Item 141
Review of the implementation of General Assembly resolutions 48/218 B, 54/244 and 59/272

B

The General Assembly decides to defer until its sixty-fifth session consideration of the following documents:

Item 130
Review of the efficiency of the administrative and financial functioning of the United Nations

Comprehensive report of the Secretary-General on United Nations procurement activities[4]

Comprehensive report of the Secretary-General on United Nations procurement activities: procurement governance arrangements within the United Nations[5]

Comprehensive report of the Secretary-General on United Nations procurement activities: sustainable procurement[6]

Report of the Advisory Committee on Administrative and Budgetary Questions on the comprehensive report of the Secretary-General on United Nations procurement activities[7]

Report of the Office of Internal Oversight Services on audit of procurement management in the Secretariat[8]

Item 137
Human resources management

Report of the Secretary-General on the composition of the Secretariat[9]

Report of the Secretary-General on the implementation of continuing appointments[10]

Report of the Secretary-General on the practice of the Secretary-General in disciplinary matters and possible criminal behaviour, 1 July 2008 to 30 June 2009[11]

Report of the Secretary-General on the activities of the Ethics Office[12]

Report of the Advisory Committee on Administrative and Budgetary Questions on human resources management[13]

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[4] A/64/284.
[5] A/64/284/Add.1.
[6] A/64/284/Add.2.
[7] A/64/501.
[8] A/64/369.
[9] A/64/352.
[10] A/64/267.
[11] A/64/269.
[12] A/64/316.
[13] A/64/518.